1	The genomic and epigenomic dynamics of hemizygous genes
2	across crops with contrasting mating systems
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27 Abstract

28 Hemizygous genes are present in one of the two sister chromatids of diploid organisms. It 29 comes to be known for their prevalent occurrence and vital roles in sex chromosome. 30 However, hemizygous genes in genomes of diploid plants remain largely unexplored. In 31 this study, we investigated the features, genetic, cis- and epigenetic regulations of 32 hemizygous genes in seven crops. These crops represent three clonal lineages, one 33 outcrossing species, and three putatively homozygous (selfed or doubled haploid) genomes. 34 By remapping long reads to the primary genome assembly, we identified structural variants 35 that included annotated genes. We found 3,399-5,610 hemizygous genes (10.1%-15.1%) 36 in the three clonal plants. As expected, very few genes (0.003%-0.007%) were hemizygous 37 in the three homozygous genomes, representing negative controls. The genome from an 38 outcrossing species was intermediate between the two extremes. Hemizygous genes 39 experienced a more recent origin and stronger selection pressure than diploid genes. We 40 also found reduced expression of hemizygous genes compared to diploid genes, with $\sim 20\%$ 41 expression levels on average, which violated the evolutionary model of dosage 42 compensation. Furthermore, we detected higher DNA methylation levels on average in 43 hemizygous genes and transposable elements, which may contribute to the reduced 44 hemizygous gene expression levels. Finally, expression profiles showed that hemizygous 45 genes were more tissue/treatment-specific expressed than diploid genes in fruit 46 development, organ differentiation, and responses to abiotic and biotic stresses. Overall, 47 hemizygous genes displayed distinct genomic, genetic and epigenomic features compared 48 to diploid genes, providing new insights for the genetics and breeding of crops with 49 heterozygous genomes.

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52 Keywords: Clonal propagation, Structural variation, Integrative genomics, Heterozygous,
 53 Cis-regulation

54 Introduction

55 Hemizygous genes are present on only one chromatid of a diploid organism (1, 2). The 56 most prominent examples of hemizygous genes are on the sex chromosomes of X/Y 57 mammalian males or Z/W avian females (2, 3). Similar sets of hemizygous genes are 58 present in sex-linked regions of X/Y dioecious plants, such as palms (4, 5) and grapevines 59 (6-8), asparagus (9, 10), kiwifruit (11, 12) and strawberries (13). Numerous studies have 60 focused on the evolution, gene expression and epigenetic regulation of sex-linked 61 hemizygous genes compared to diploid genes (14-21). For example, genomic studies in 62 mammalian males have consistently revealed lower mutation rates and more efficient 63 selection in sex-linked hemizygous genes than diploid genes (14), due in part to the fact 64 that hemizygosity uncovers recessive alleles and makes them visible to selection (22). 65 Similar studies have generally shown that the ratio of sex-linked to diploid (X:AA) gene 66 expression is ~0.5 in animals and plants (16, 23). A X:AA ratio of ~0.5 ratio is inconsistent 67 with the hypothesis of dosage compensation, a mechanism that re-equalizes male and 68 female expression and/or brings XY male expression back to its ancestral level (23). 69 Interestingly, estimated expression levels of sex chromosome alleles (XY) in males show 70 an overall trend of reduced expression of Y-linked alleles relative to X-linked alleles in 71both animals and plants (17-20). Some of these expression effects are mediated by 72 epigenetic marks, such as histone markers and DNA methylation(18, 24-27). For example, 73 the male-specific region of the papaya Y chromosome is associated with knob-like 74 heterochromatic structures that are heavily methylated, which suggests that DNA 75 methylation has played a role in the evolution of this Y chromosome (24). These 76 observations indicate that sex-linked hemizygous genes often have unique epigenetic and 77 regulatory features. In addition to sex-linked regions, it is worth noting that the absence of 78 one paired allele is frequently observed in non-sex-linked regions of homologous 79 chromosome in diploid plants, resulting in a significant presence of hemizygous genes (28). 80 Yet, the extent and function of these genes remain largely uncharacterized. Here, we study 81 hemizygous genes across a sample of plant genomes.

The identification of hemizygous genes in diploid plant genomes has become feasible with the emergence of long-read sequencing technologies and the advance of assembly

84 algorithms. Precise genome assemblies facilitate the identification of structural variants 85 (SVs) in heterozygous diploid plant genomes (28-30), and thus permit genome-wide 86 identification of hemizygous genes caused by SVs (28-30). For example, by remapping 87 long-reads to a reference genome assembly, it has been inferred that $\sim 13.5\%$ and $\sim 15\%$ of genes are hemizygous in two clonal grapevine (Vitis vinifera ssp. vinifera) cultivars (28). 88 89 While this high value may reflect, in part, unique features of long-term clonal lineages (28, 90 31), hemizygosity is not limited solely to clonal lineages, because $\sim 8.89\%$ and $\sim 4\%$ of 91 genes are estimated to be hemizygous in an outcrossing wild rice species (Oryza 92 *longistaminata*) and in avocado (*Persea americana*), respectively (32, 33). In contrast, as 93 expected, only a few genes have been inferred to be hemizygous in inbred, selfed 94 accessions. For example, only 0.73% and 0.35% of genes have been inferred to be 95 hemizygous in rice cultivars Nipponbare and 93-11, respectively (33). The extent of 96 hemizygosity in plant genomes has only begun to be appreciated, largely because genome 97 projects have historically focused on selfed or homozygous materials (28). As a result, 98 there is currently little information about natural variation in the number of hemizygous 99 genes, about potential correlations between hemizygosity and life history traits (such as 100 mating systems and historical population sizes), and about the evolutionary dynamics and 101 putative functions of hemizygous genes. We do know, however, that hemizygosity can 102 affect function. For example, white berry color in grapevines is related to a complex series 103 of mutations that includes hemizygosity of a large genomic region (34). In this case, the 104 key feature of hemizygosity is that it uncovers a recessive, non-functional allele, that 105 interrupts anthocyanin biosynthesis.

106 Thus far, the extent of hemizygosity has been estimated in only a handful of plant genomes, 107 and there have been no accompanying genome-wide analyses of hemizygous gene function 108 and epigenetics. In this study, we build or gather chromosome-level assemblies for seven 109 plant genomes and then identify SVs that define hemizygous genes. We have chosen seven 110 samples that represent a range of species' mating systems and that also have available gene 111 expression and epigenetic data. Our sample includes three clonal plants: two grapevine 112 clones (Chardonnav and Pinot Noir) and one cassava (Manihot esculenta) accession (35). 113Since extensive hemizygosity may be elevated in clonal lineages, we have included 114 comparatives from one outcrossing sample, Black Cottonwood (Populus trichocarpa) (36),

and three inbred/doubled haploid cultivars, including rice (*Oryza sativa* ssp. *indica*) (37),

116 tomato (*Solanum lycopersicum*) (30) and sweet orange (*Citrus sinensis*) (38). To compare 117 results across samples, we have assembled Pacific Biosciences (PacBio) long-read 118 sequencing data for each genome and identified SVs that defined hemizygous genes.

119 Given the identification of hemizygous genes and the availability of transcriptomic and 120 epigenomic data, we ask the following questions: (1) Are hemizygous genes widespread in 121 diploid plant genomes, or are they particularly abundant in clonal lineages? (2) Do 122 hemizygous genes have distinct sequences and evolutionary features compared to diploid 123genes? For example, are they enriched for specific biological processes? If they are, do 124 they have distinct expression levels and patterns compared to diploid genes? (3) 125Hemizygous regions can include genes but also other sequence features, like transposable 126 elements (TEs). How extensive are hemizygous TEs, and do they have detectable correlates 127 with the expression of nearby diploid genes? And, finally, (4) Do hemizygous genes exhibit 128 distinct epigenetic patterns relative to diploid genes? If they do, how is expression related 129 to these epigenetic effects? By addressing these questions, our goal is to further understand 130 the evolutionary and functional consequences of hemizygosity. Ultimately this knowledge 131will be beneficial for understanding the genetics, breeding and evolution of plants with 132heterozygous genomes.

133 **Results**

134 **Prevalent hemizygous genes in clonal plant genomes**

135To identify hemizygous genes caused by SVs, we first built or gathered chromosome-level 136 genome assemblies based on PacBio circular consensus sequencing (CCS) or continuous 137 long read (CLR) reads for three clonal, one outcrossing, and three inbred/doubled haploid 138 plant samples. The three clonal plants included the Pinot Noir and Chardonnay cultivars of 139 grapevine (28) and the cassava variety TME204 (35). The outcrossing sample was from 140 Black Cottonwood (36). The remaining three samples were either inbred of doubled 141 haploids, and so should be nearly completely homozygous. The three included inbred rice 142 cultivar MH63 (37), the genetically manipulated inbred tomato cultivar Heinz 1706 (30), 143 and one manipulated doubled haploid sweet orange cultivar Valencia (38). Among these 144 seven genome assemblies, the Pinot Noir data were generated and assembled based on long 145 PacBio CCS, ONT, and Hi-C reads for this study, while data and reference assemblies for 146 the other samples were retrieved from public resources. The new Pinot Noir genome assembly was highly contiguous, with a scaffold N50 value of 27.1 Mb and a 147 148 Benchmarking Universal Single-Copy Orthologs (BUSCO) completeness score of 98.3%, 149 and anchored to 19 chromosomes (Table 1). The genome assemblies for the additional 150 species were built based on PacBio CCS/CLR reads, anchored to chromosome level, had 151scaffold N50 values of 13.2-67.6 Mb and BUSCO completeness scores of 96.4%-99.0% 152(Table 1).

153Given these high-quality references, we identified hemizygous regions by remapping long-154 read PacBio data to genome assemblies, focusing on SVs >50 bp. The SVs were identified 155 using the Sniffles pipeline (39), followed by several filtering steps, including thresholds 156 for quality and coverage (see Methods). In addition, the raw data were downsampled to 157 $\sim 30 \times$ coverage before mapping to facilitate fair comparisons across samples (SI Appendix 158Fig. S1). This approach yielded from 20,822 to 46,418 heterozygous SVs (hSVs) in the 159 three clonal samples. Of these, more than one-third (i.e., 10,165-29,614, 48.8%-63.8% of 160 total hSVs) were heterozygous deletions (hDELs) relative to the reference (Table 1). The 161 hDEL SV class was the focus for our studies, because for these SV events the reference 162 contains information about content within the SV.

163 Focusing on hDELs as hemizygous regions, we found that they were extensive within 164 clonal plants. In the three clonal genomes, hDELs encompassed from 83.7 to 90.1 Mb in 165 length, corresponding to 11.5% to 16.9% of the total genome size (Table 1). Notably, the 166 number of hSVs in cassava was demonstrably higher than the two grapevine varietals, 167 likely reflecting a larger genome (~770Mb vs. ~500 Mb), features of the mating system 168 and life history (such as the duration of the clonal lineage) or some combination. As a 169 comparison, we identified SVs in outcrossing Black Cottonwood and the inbred samples. 170 Black Cottonwood had 17,708 hSVs and 9,949 hDELs, with the latter representing 6.4% 171of the ~400Mb genome. In contrast, as we expected, the three homozygous (inbred or 172 doubled haploid) samples contained far less evidence for hSVs. For example, the *indica* 173rice accession housed 133 hSVs and only 18 hDELs; similarly, the tomato and orange

174 samples had 28 and 191 hSVs with 10 and 52 hDELs. The total length of hDELs were 175 negligible, ranging from 7 kb to 42 kb, representing only 0.001% to 0.013% of the total 176 genome size (Table 1). The low values for the inbred and doubled haploid samples suggest 177 that our methods have low false positive errors -i.e., representing < 0.013% of the genome.

178We characterized heterozygous deletions further as to whether they contained complete 179 genes or TEs, which we defined as hemizygous genes or TEs (Fig. 1A). In the clonal and 180 outcrossing samples, we detected from 3,399 to 5,610 hemizygous genes (representing 181 from 10.1% to 15.1% of total genes), with Chardonnay exhibiting the highest proportion 182 (Table 1). These genomes also contained numerous (from 119,980 to 226,295) hemizygous 183 TEs, representing 13.0% to 24.7% of annotated TEs (Table 1), with Chardonnay again 184 exhibiting the highest proportion. In contrast to the clonal samples, the Black Cottonwood 185 genome was less replete with hemizygous genes and TEs, with 1,570 hemizygous genes 186 representing 4.5% of total genes and 39,773 hemizygous TEs (6.3% of total TEs) (Table 187 1). As expected, the three homozygous samples had far fewer hemizygous genes and TEs; 188 each sample had one or two hemizygous genes (i.e., 0.003%-0.007% of annotated genes) 189 with 8 to 46 hemizygous TEs (0.001%-0.01% of total TEs). These patterns mirrored 190 previous findings that estimated that: i) ~15% and 13.5% of genes are hemizygous in clonal 191 grapevine cultivars (28, 31); ii) there is a lower but notable percentage of hemizygous genes 192 in outcrossing plants (i.e., 8.89% hemizygous genes in O. longistaminata (33), 4% in 193 avocado (32), and now 4.5% in Black Cottonwood) and iii) as expected, there are 194 consistently low rates of genic hemizygosity (<1%) in putatively homozygous materials 195 (33). Overall, these results are consistent with the accumulation of hemizygous regions 196 especially in clonal lineages.

We anticipate that our observations represent *bona fide* SVs, but for downstream characterization we thought it was critical to define a subset of genes with additional evidence for hemizygosity. We opted to focus on heterozygosity as an additional filter. Since hemizygous genes occur in one only sister chromatid, truly hemizygous genes should lack heterozygosity entirely. It is, however, difficult to give a proper cutoff value for heterozygosity, because sequencing error can contribute to a low level of apparent heterozygosity. In this case, we opted to use the conservative heterozygosity value of zero to define reliably hemizygous genes. This additional filter reduced the hemizygous gene set to 1,403 from 3,399 genes in Pinot Noir, to 3,007 from 5,610 in Chardonnay, to 1,598 from 4,242 in cassava and to 309 out of 1,570 genes in Black Cottonwood. We focused on these genic sets for downstream analyses, and contrasted them to diploid genes – i.e., genes without any evidence of an overlapping hSV. We did not include the inbred/doubled haploid samples in subsequent analyses, because they contain so few hemizygous genes.

210 Given this heavily filtered set of hemizygous genes, we examined their length and coverage 211 statistics. As expected, the average length of hemizygous genes was significantly shorter 212 than that of diploid genes in the clonal samples (p < 2e-16, Fig. 1B), because one naively 213 expects that shorter genes have a higher probability of being encompassed by an SV event 214 and of lacking heterozygosity. Also as expected, the coverage of hemizygous genes was 215 significantly lower than that of diploid genes (Wilcoxon rank-sum test, p < 2e-16, or p < 2e-16) 216 0.01; Fig. 1C). Altogether, the coverage and length of genes tend to confirm the 217 hemizygosity of our gene sets.

218 **Evolutionary and functional properties of hemizygous genes**

219 We explored evolutionary and functional features of putatively hemizygous genes relative 220 to diploid genes for the four samples with substantive hemizygosity. For example, we 221 measured nonsynonymous (Ka) and synonymous (Ks) substitution rates for each gene by 222 comparing sequences to a paired outgroup (e.g., Muscadinia rotundifolia for Chardonnay 223 and Pinot Noir; *Ricinus communis* for cassava; and *Salix brachista* for Black Cottonwood). 224 The median Ks value in hemizygous genes was significantly lower than diploid genes in 225 Pinot Noir and cassava (Wilcoxon rank-sum test, p < 0.05); similar patterns were found in 226 Chardonnay and Black Cottonwood but without significant values (Fig. 1D). Perhaps as a 227 consequence of lower Ks, hemizygous genes have correspondingly higher median Ka/Ks 228 values relative to diploid genes in Pinot Noir, Chardonnay and cassava (Wilcoxon rank-229 sum test, p < 0.05; Fig. 1E); similar patterns were found in Chardonnay and Black 230 Cottonwood but without significant values (Fig. 1E). These Ka/Ks patterns are consistent 231 with relaxed selection, lower mutation rates (as implied by lower Ks values) or some 232 combination of these two processes in hemizygous genes relative to diploid genes.

233 We also investigated the proportion of single copy and multi-copy genes in both 234 hemizygous genes and diploid genes. We hypothesized that hemizygous genes were more 235 likely to belong to a multi-gene family, because gene family membership can provide 236 functional redundancies that make hemizygosity potentially less detrimental. To measure 237 membership in gene families, we implemented a BLASTP-based pipeline (see Methods). 238 Our results supported our hypothesis, because we detected a lower proportion of single 239 copy genes in hemizygous genes compared to diploid genes in all four plants (38.1% vs. 240 72.7% in Pinot Noir, p = 1.0e-06; 70.4% vs. 81.7% in Chardonnay, p = 0.07; 34.1% vs. 241 57.2% in cassava, p = 0.002; 44% vs. 72.8% in Black Cottonwood, p = 5.2e-05; Fisher's 242 Exact Test) (Fig. 1F). We also applied the Orthofinder pipeline and found similar results – 243 i.e., a lower proportion of single copy genes in hemizygous genes (2.9% vs. 32.7% in Pinot 244 Noir, p = 1.6e-08; 3.8% vs. 27.3% in Chardonnay, p = 7.5e-06; 15.7% vs. 25.8% in cassava, 245 p = 0.11; 14.2% vs. 24.8% in Black Cottonwood, p = 0.07; Fisher's Exact Test) (Fig. 1G). 246 These results support the idea that hemizygous genes are more often members of multi-247 gene families.

248 Finally, we investigated the possible biological processes of hemizygous genes in the four 249 plants (SIAppendix Fig. S2). In Pinot Noir, the top 10 enriched Gene Ontology (GO) terms 250 were involved in biological processes such as regulation of reproductive processes, mitotic 251related processes, and responses to stimuli. In Chardonnay, the top 10 enriched GO terms 252 were related to detection of other organisms, biotic stimuli and bacteria. In cassava, the top 25310 enriched GO terms were related to responses to stress and environmental stimuli. In 254 Black Cottonwood, the top 10 enriched GO terms were involved in regulation of signaling, 255regulation of cell communication, and response to stimulus. The results suggest that 256 hemizygous genes can be involved in fundamental processes like reproduction and mitosis, 257 but they were also consistently enriched for responses to biotic and abiotic stress.

258 Unique expression patterns of hemizygous genes

To explore the expression patterns of hemizygous and diploid genes, we amassed RNAseq datasets from 60 samples belonging to 21 different tissues/treatments in Pinot Noir and

261 26 samples belonging to 10 different tissues/treatments in Chardonnay. The samples were

262 generated across different tissues, developmental stages (e.g., fruit development) and 263 experimental regimes, such as stress treatments (SI Appendix Table S1). We also retrieved 264 three leaf samples from one experiment without treatment in cassava, and three leaf 265 samples from one experiment without treatment and nine leaf samples from abiotic stress 266 experiments in Black Cottonwood (SI Appendix Table S1). In total, we recovered 313 Gb 267 paired-end and 74 Gb single-end Illumina reads. Our goals with these data were: i) to 268 investigate the level of expression in hemizygous genes relative to diploid genes and ii) to 269 determine whether hemizygous genes had patterns of expression consistent with 270 contributions to development and other processes.

271 We first assessed whether hemizygous genes were expressed. Across taxa and individual 272 RNA-seq samples, a significantly higher proportion of hemizygous genes had no evidence 273 for expression relative to diploid genes (Fig. 2A and *SI Appendix* Fig. S3). For example, 274 across all samples in Pinot Noir, 61.2% (858 out of 1,403 genes) of hemizygous genes had 275 evidence for expression, but that proportion was 89.9% (27,338 out of 30,403 genes) for 276 diploid genes (Chi-sq=1099.9, df=1;p<0.001). This trend held true in each tissue/treatment 277 for all taxa, such that of the total number of 21 RNA-seq tissues/treatments in Pinot Noir, 278 hemizygous genes were expressed in lower proportions for all tissues/treatments. These 279 results strongly suggest that hemizygous genes are enriched for pseudogenes or for a subset 280 of genes that are expressed under fewer experimental and developmental conditions. On 281 the flip-side, however, not all hemizygous genes were pseudogenes; across all data samples, 282 we detected expression for 61.2%, 81.3%, 52.7% and 76.4% of hemizygous genes in Pinot 283 Noir, Chardonnay, cassava, and Black Cottonwood, respectively (Fig. 2A).

284 For each RNA-seq sample, we next investigated average levels of expression for genes 285 with evidence for expression. Hemizygous genes were consistently expressed at 286 significantly lower levels than diploid genes based on average expression across all 287 tissues/treatments (Fig. 2B) and within each tissue/treatment (SI Appendix Fig. S4). The 288 hemizygous: diploid ratio of average expression ranged from 0.03 to 0.24 across Pinot Noir tissues/treatments, with median of 0.05 (Fig. 2C). These values were higher in Chardonnay 289 290 (ranging from 0.18 to 0.31 with a median of 0.21). The hemizygous: diploid expression 291 ratio was similar in Black Cottonwood, ranging from 0.19 to 0.34 with a median of 0.27. All of these values are substantially lower than the 50% expected if hemizygous alleles were expressed at similar levels to diploid alleles. These results imply that there is a diminution of gene expression associated with hemizygosity, and this diminution typically results in < 50% of the expression of diploid genes, on average (see Discussion).

296 We then elucidated patterns of hemizygous gene expression across scenarios like fruit 297 development, organ differentiation, and biotic and abiotic stress stimuli. First, we estimated 298 whether there were significant expression changes for hemizygous and diploid genes 299 between contrasting treatments (or control vs. treatment) within a single study. For example, 300 for 12 paired comparisons in Pinot Noir, we found that the level of median hemizygous 301 gene changed significantly for 10 (83.2%, p < 0.05, Wilcoxon test for paired samples) of 302 contrasts (SI Appendix Fig. S5). Similarly, median diploid gene expression changed 303 significantly in 10 out of 12 (83.2%, p < 0.05, Wilcoxon test for paired samples) paired 304 comparisons (SI Appendix Fig. S5). Similar pattern was found in Chardonnay (SI Appendix 305 Fig. S6). These observations suggest that hemizygous genes are not generally dissimilar to 306 diploid genes with respect to differential expression across treatments and conditions. 307 Second, we also assessed the proportion of hemizygous genes that were differentially 308 expressed across comparisons. Hemizygous genes generally had a lower proportion of 309 differentially expressed genes than diploid genes (Fig. 2D and SI Appendix Fig. S7). For 310 example, in Pinot Noir, 19.1% (268 out of 1,403) of hemizygous genes and 72.4% (22,024) 311 out of 30,403) of diploid genes were differentially expressed in at least one paired 312 comparison (Chi-sq=1817.4, df=1;p<0.001). The corresponding values were 35.2% (1,057) 313 out of 3,007) versus 54.4% (17,222 out of 31,634) for Chardonnay (Chi-sq=409.2, df=1; 314 p<0.001) and 37.5% (116 out of 309) versus 55.6% (18,425 out of 33,130) (Chi-sq=39.754, df=1; p<0.001) in Black Cottonwood. These results indicate that statistically fewer 315 316 expressed hemizygous genes responded to differences in fruit development, organ 317 differentiation and abiotic and biotic stresses.

To further explore the expression pattern of hemizygous genes, we detected genes that were expressed in specific tissues or treatments. That is, we counted the number of genes that had significant evidence for expression in only one tissue/treatment of a paired comparison. Altogether, hemizygous genes had a higher proportion of tissue/treatment-specific genes than the diploid genes (*SI Appendix* Fig. S8). Across 12 tissue/treatment comparisons in
Pinot Noir, 29.3% to 79.5% of hemizygous genes were expressed in only one of the paired
tissues or treatments, but these values were substantially lower for diploid genes (ranging
from a low of 7.5% to a high of 25.6%). Similar patterns – i.e., more tissue/treatmentspecific expression for hemizygous genes – were found in Chardonnay and Black
Cottonwood.

328 We also classified pairwise comparisons of Pinot Noir and Chardonnay into three 329 categories: fruit development, organ differentiation and abiotic and biotic stresses 330 experiments (Fig. 2E). We then analyzed the overlap of differentially expressed genes 331 among these three categories. For example, of 268 differentially expressed hemizygous 332 genes in Pinot Noir, 19 (7.1%), 46 (17.2%), and 94 (35.1%) were found only in fruit 333 development, in organ differentiation and in abiotic and biotic stresses processes, 334 respectively, while 109 (40.6%) were shared among two or three processes. In contrast, of 335 22,024 differentially expressed diploid genes, 577 (2.6%), 1,671 (7.6%), and 2,895 (13.1%) 336 were found only in fruit developmental, in organ differentiation and in abiotic and biotic 337 stresses processes, respectively, while 16,881 (76.6%) were shared among two or three 338 processes. This pattern was also found in Chardonnay (Fig. 2E). Compared to diploid genes, 339 hemizygous genes were more often differentially expressed in only one of three processes 340 and have distinct expression patterns.

341 The cis-regulatory effects of hemizygous and diploid TEs on gene expression

We then explored the cis-regulatory effects of TEs on gene expression. We used the RepeatModeler pipeline to identify TEs for the clonal and outcrossing plant samples, detecting from 633,676 to 1,195,837 TEs across the four taxa (Table 1). We then classified genes into four categories based on their proximity to annotated TEs. The four categories were: i) hemizygous genes with nearby TEs (i.e., within 2kb of either the 5' or 3' ends of genes), ii) hemizygous genes without nearby TEs, iii) diploid genes with nearby TEs, iv) diploid genes without nearby TEs.

Focusing on diploid genes, the pattern was consistent and clear: among the group of genes without TEs, a higher percentage were expressed (Fig. 3A) and at higher levels (Fig. 3B) 351 than genes with nearby TEs. This observation held across the four taxa and across 352 individual RNA-seq samples (Additional file 1: Figs. S9 and S10). The difference could be 353 striking; for example, in one leaf sample of Pinot Noir, 71% of diploid genes without a 354 nearby TE were expressed, while only 61% of diploid genes with a nearby TE were expressed. The pattern in diploid genes was consistent with the findings that: i) host 355 356 silencing of TEs near genes often negatively affect expression of a neighboring gene (40) 357 - e.g., siRNA-targeted TEs are associated with reduced gene expression(41); and ii) TEs 358 close to genes may disrupt cis-regulatory element such as enhancers, silencers, thus 359 affecting gene expression (42, 43).

360 However, these patterns were not as consistent with hemizygous genes (Fig 3A, Additional 361 file 1: Figs. S9 and S10). Hemizygous genes near TEs were generally expressed more often 362 and more highly in Pinot Noir, while the converse was true in Chardonnay (Fig. 3A). In 363 fact, expression levels of hemizygous genes without nearby TEs were significantly lower 364 than that of hemizygous genes with nearby TEs in Pinot Noir (Fig. 3B, Wilcoxon rank-sum 365 test, p < 0.001). Two other taxa (cassava and Black Cottonwood) had similar patterns of lower expression in hemizygous genes without nearby TEs, but the contrast was not 366 367 significant (Fig. 3B). Thus, the relationship between hemizygous genes and the presence 368 of nearby TEs tended to contradict patterns for diploid genes.

369 Like genes, TEs can be diploid or hemizygous, so we also explored the effect of 370 hemizygous and diploid TEs on gene expression. To do so, we classified genes with nearby 371 TEs into four categories: i) hemizygous genes with hemizygous TEs, ii) diploid genes with 372 hemizygous TEs, iii) hemizygous genes with diploid TEs, and iv) diploid genes with 373 diploid TEs. Across four taxa, there was no clear pattern in terms of the proportion of genes 374 that were expressed in each of the categories. The percentage of expressed diploid genes 375 with nearby diploid TEs was generally higher, though not always, compared to the 376 percentage of expressed diploid genes with nearby hemizygous TEs (Fig. 3C; SI Appendix 377 Fig. S11), but results varied among taxa. Turning to expression levels, rather than the 378 proportion of expressed genes, diploid genes near diploid TEs tended to be expressed at 379 higher levels, on average, than diploid genes near hemizygous genes (Fig. 3D; SI Appendix 380 Fig. S12). However, this contrast was significant only for Black Cottonwood (Fig. 3D).

381 Overall, the results generally suggest that SVs near genes (i.e., that result in hemizygous

382 TEs) tend to reduce expression of diploid gene more than nearby diploid TEs.

383 Higher DNA methylation in hemizygous genes and TEs

384 Finally, we investigated DNA methylation patterns of hemizygous genes relative to diploid 385 genes. We surveyed genome-wide levels of DNA methylation and gene expression from 386 leaves of Pinot Noir, Chardonnay, cassava, and Black Cottonwood (Additional file 1: Table 387 2). For hemizygous genes in Pinot Noir leaves, we detected average weighted genomic 388 DNA methylation levels of 53.8%, 24.7% and 2.3% in the CG, CHG and CHH sequence 389 contexts, respectively (Fig. 4A and 4B). Like previous reports (44, 45), the genic 390 methylation level was lower than the genome-wide methylation level; in hemizygous 391 versus diploid genes, the average DNA methylation level was 42.1% vs. 39.5%, 30.2% vs. 392 7.3%, and 2.5% vs. 1% in the CG, CHG, and CHH contexts, respectively. These patterns 393 were largely consistent across taxa and generally reflect higher methylation levels for 394 hemizygous genes compared to diploid genes.

As expected, TEs were methylated at higher levels than genome-wide averages, but interestingly, hemizygous TEs tended to be methylated at higher levels than diploid TEs. For example, in the Pinot Noir sample, hemizygous and diploid TEs have methylation levels of 80.4% and 65.6% in the CG context, 58.6% and 42.8% in the CHG context and 4.2% and 3.3% in the CHH context. Similar patterns were found in Chardonnay, cassava, and Black Cottonwood (Fig. 4B), and hence hemizygous TEs generally have higher methylation levels than diploid TEs.

402 Hemizygous gene expression levels correlated with gene body methylation

We then turned to the methylation status of individual genes. We defined gene bodymethylated genes (gbM) as genes with CG methylation but without CHG and CHH methylation. We also categorized CHG methylated genes (mCHG) as genes with CHG methylation, and unmethylated genes (UM) as genes without CG, CHG and CHH methylation. Across the clonal taxa, the hemizygous genes tended to harbor a lower proportion of gbM genes than diploid genes. For example, in Pinot Noir, 20.5% of 409 hemizygous genes (395 out of 1,403) and 35.1% of diploid genes (10,682 out of 30,404) 410 on average were gbM (Fig. 5A). In Black Cottonwood, the proportion of gbM in 411 hemizygous genes (4.2%, 13 out of 309) was slightly higher than that in diploid genes 412 (3.3%, 1,084 out of 33,129) (Fig. 5A). Overall, the results indicated that hemizygous genes 413 were less often gbM than diploid genes and that gbM was more extensive in the clonal 414 samples compared to single outcrossing sample in our dataset (Fig. 5A). The difference 415 between gbM proportions in hemizygous and diploid genes (i.e., hemizygous < diploid) 416 and average CG genic methylation ratio pattern (hemizygous > diploid) can be explained 417 by the higher proportion of hemizygous mCHG genes. For example, in Pinot Noir, 25.2% 418 of hemizygous genes (353 out of 1,403) and 6.5% of diploid genes (1,991 out of 30,403) 419 were mCHG.

420 After identifying gbM, mCHG and UM genes, we investigated their expression patterns. 421 We identified a few distinct trends. First, a relatively small proportion of mCHG genes 422 were expressed, no matter whether they were hemizygous or diploid genes. This pattern 423 was consistent with previous findings that mCHG methylation decreases gene expression 424 (45). The high proportion of hemizygous mCHG genes contributed to the overall lower 425 expression levels of hemizygous vs. diploid genes (Fig. 2B). Second, a high (> 73.3%) 426 proportion of gbM and UM genes were expressed for diploid genes, but this was not always 427 the case for hemizygous genes (Fig. 5B). In Chardonnay and cassava, a high (>70.4%)428 proportion of hemizygous gbM and UM genes were expressed, but these proportion were 429 as low as 20.5% and 30.8% in Pinot Noir and Black Cottonwood (Fig. 5B). Third, the 430 patterns based on the proportion of expressed genes were largely reflected in expression 431 levels. That is, mCHG genes were relatively lowly expressed, no matter if they were 432 hemizygous or diploid (Fig. 5C); gbM and UM genes were expressed at higher levels than 433 mCHG genes (SI Appendix Fig. S13); and hemizygous gbM and UM genes were 434 consistently more lowly expressed than diploid genes (Fig. 5C).

435 **Discussion**

Hemizygous genes have been extensively studied in sex-linked regions, but they can also
occur beyond sex-linked regions of homologous chromosomes due to SVs. Some SVs will

438 lead to the presence of a single allele on one sister chromatid of an otherwise diploid 439 organism. The prevalence, expression and epigenetics of these hemizygous genes has 440 rarely been investigated. Here we have integrated genomic, transcriptomic and epigenomic 441 analyses to estimate the frequency of hemizygous genes and to characterize their features, 440 here we have integrated genomic and epigenomic and epigenomic 441 analyses to estimate the frequency of hemizygous genes and to characterize their features,

442 expression, and epigenetic regulation.

443 Hemizygous genes are most common in clonal lineages

444 Consistent with previous work, we found that hemizygous genes are more common in 445 clonal, as opposed to outcrossing lineages. Although hemizygosity has already been 446 measured in a handful of plant taxa – i.e., primarily grape varieties (28, 31) and rice species 447 (33) – we have extended observations to additional grape cultivars, including a new Pinot 448 Noir assembly, clonally propagated cassava, an outcrossing species (Black Cottonwood) 449 and three species expected to have completely homozygous genomes. Focusing on SVs 450 that represent deletions relative to the reference assembly (hDELs), we have found, as 451 expected, little evidence for hemizygosity in the homozygous samples. Across our three 452 homozygous samples, two (orange and rice) had estimates of $\sim 0.005\%$ of the genome 453 captured within hDELs of > 50 bp, with an even lower estimate in tomato (Table 1). While 454 these results are not particularly surprising, the homozygous samples act as a negative 455 control and show that we do not estimate high hemizygosity where there should be none.

456 In contrast to the homozygous samples, our work substantiates a growing consensus that 457 outcrossing species can harbor a substantive portion of their genome as hemizygous. In 458 Black Cottonwood, for example, we have estimated that 3.2% of the genome, containing 459 4.5% of the genes, is captured by hDELs, mimicking levels found in outcrossing rice (33) 460 and avocado (32) (Avocado is clonally propagated in cultivation, but the investigated tree 461 had been produced by a recent outcrossing event). In contrast, long-term clonal lineages 462 consistently have a more substantial fraction of their genomes and genes captured in a 463 hemizygous state. We caution that most of the observations to date have been based on 464 grapevine clones, some of which have been propagated for 1000 or more years (46). 465 However, by including cassava, we have not only shown that it is similar to grapes (with > 466 10% of the genome captured in hemizygous regions, Table 1), but also that the 467 phenomenon is not limited to grapevines. Moreover, the results accentuate how a 468 traditional focus on inbreeding plants like *Arabidopsis thaliana* (47), rice (48) and tomato 469 (49) has biased our understanding of genetic variation. Inbreeding plants are typically 470 highly homozygous with few sequence variants (30, 37, 38), but the genome of clonal 471 plants are highly heterozygous with genetic diversity that includes SVs and hemizygous 472 genes (50).

473 High genetic variation in clonal lineages is not particularly unexpected, for two reasons. 474 First, previous work on SVs has inferred, based on population samples, that they tend to be 475 deleterious (28, 33). Second, forward simulations have consistently revealed that 476 heterozygous, deleterious variants are expected to accumulate over time in clonal lineages, 477 without the matching phenomenon in outcrossing plants (28, 51, 52). This accumulation 478 reflects the fact that recessive deleterious alleles can hide as heterozygotes within a clonal 479 lineage; in contrast, they are expected to occasionally become homozygous and thus visible 480 to selection in outcrossing systems. This accumulation also reflects that recombination is 481 limited (i.e., effectively zero) in strictly clonal lineages, meaning that deleterious mutations 482 cannot recombine onto different genetic backgrounds. In this context, it is interesting to 483 note that domesticated, clonally propagated cassava has a marked 26% higher genomic 484 burden of putatively deleterious nucleotides compared to its wild congener (53).

485 Despite previous studies about the accumulation of deleterious variants in clonal lineages, 486 the large number of hemizygous genes in clonal lineages is still somewhat surprising, 487 because functionally hemizygous genes cannot (by definition) be recessive. Hence, the 488 dynamics of the accumulation of hemizygous genes are likely to differ somewhat from the 489 deleterious recessive case studied by forward simulation. Assuming that many (but not all; 490 see below) of the SV events are deleterious, several functional and evolutionary processes 491 likely contribute to the accumulation of hemizygous genes in clonal lineages. One is a 492 ratchet mechanism - i.e., once an SV occurs in a clonal lineage, it has only one possible 493 fate, so long as it is not lethal, which is to remain in the clonal lineage. By this process, clonal lineages are expected to accumulate SVs. In theory, this accumulation is more likely 494 495 when the SV events do not severely affect fitness; for that reason, we expect deleterious 496 SVs often have moderate functional effects.

497 Hemizygous gene expression is moderated by epigenetic effects

498 Indeed, we have accrued evidence that hemizygous genes have moderated effects, based 499 on three pieces of evidence. First, hemizygous genes are more likely to be non-expressed 500 than diploid genes in our sample species (Fig. 2A). That is, a higher proportion of 501 hemizygous genes appear to be pseudogenes. Second, hemizygous genes are more likely 502 to be members of gene families (Fig. 1F and Fig. 1G), implying that they are more likely 503 to be functionally redundant. Thus, the loss of one copy of a multi-copy gene is likely to 504 carry fewer fitness consequences than the loss of one allele of a critical single-copy gene. 505 Finally, and somewhat surprisingly, as a group, hemizygous genes tend to be expressed at 506 less than half the level of an average diploid genes, at about 20% (Fig. 2C). This value is 507 substantially less than the 50% expected of a single gene. It is hard to know the cause of 508 this low expression pattern. It is possible, for example, that hemizygous genes are a biased 509 sample that were lowly expressed in their diploid state before the SV event. Another 510 possibility is that epigenetic effects act especially strongly on hemizygous genes to 511 moderate their expression (see below).

512 In this context, it is worth accentuating that our expression observations are unprecedented. 513 The only other hemizygous genes studied intensively -i.e., sex-linked genes - tend to have 514 a X:AA gene expression ratio of ~0.5 in human, mouse, and nematode (16, 54); Another 515 possibility for sex-linked genes is dosage compensation, which hypothesizes that 516 hemizygous X-linked genes are expressed at twice the level of diploid genes per active 517 allele to balance the gene dose between the X chromosome and autosomes (21). For this 518 case, the X:AA gene expression ratio would hover around 1.0. This upregulation may be 519 sufficient to mitigate negative fitness effects, even if expression still falls significantly short 520 of ancestral expression levels, and may mitigate the effects of aneuploidy (55-57); 521 additional selection for compensatory up-regulation may be unnecessary for such loci (58). 522 We see none of these effects. In our study, we do not see any overarching evidence of 523 complete or even partial dosage compensation of hemizygous genes. Instead, the opposite 524 is true: the expression of heterozygous alleles are dampened, on average, so that they are 525 substantially less expressed than the average diploid allele.

526 We suspect that this dampening effect is at least partially due to epigenetic phenomena, for 527 three reasons. First, in all four species investigated, hemizygous TEs have elevated levels 528 of DNA methylation relative to diploid TEs (Fig. 4A). Several phenomena may contribute 529 to this observation, including that hemizygous TEs may be more recent insertions (and 530 therefore more recently targeted by host mechanisms). Whatever the cause, the data hint 531 that hemizygous TEs differ quantitatively in their methylation effects. Second, hemizygous 532 genes also exhibit higher levels of methylation than diploid genes, specifically a higher 533 proportion of mCHG alleles (Fig. 5A), which are typically lowly expressed (Fig. 5C). 534 Finally, we have shown that genes near TEs are consistently more lowly expressed than 535 genes far from TEs (Fig. 3B), but this effect is more prominent for genes near hemizygous 536 TEs (Fig. 3D). This may be a partial explanation as to why genes close to SVs are 537 associated with reduced gene expression levels in tomato (59).

538 These observations have interesting parallels to previous studies that have suggested that 539 DNA methylation is correlated with reduced gene expression levels for sex-limited genes 540 on the Y or W chromosome (60, 61). High levels of DNA methylation have also been 541 associated with sex chromosomes in sticklebacks and papaya (24, 25). In addition, DNA 542 methylation is a key feature in X-chromosome inactivation (26). These results suggest 543 some similar features of DNA methylation patterns between sex-linked and non-sex-linked 544 hemizygous genes. Clearly, we cannot be certain what, if any, epigenetic mechanisms 545 might be shared between sex-linked hemizygosity and that which we have studied here, 546 but it is an interesting question for further research.

547 Are hemizygous genes merely functional remnants?

Given the evidence – i.e., that hemizygous genes tend to be shorter than diploid genes (Fig. 1B), expressed at lower levels (Fig. 2B), potentially subjected to lower levels of purifying selection (as measured by Ka/Ks; Fig. 1E), and more heavily methylated (Fig. 4A and 4B) – it is tempting to conclude that hemizygous genes are typically pseudogenes. Are they merely functional remnants of previously functional genes? While to this question is likely yes for most hemizygous genes, there is some tantalizing evidence that the answer is this question may often be 'no'.

555 Evidence supporting functionality of some hemizygous genes comes in a few forms. For 556 example, a reasonable proportion of hemizygous genes have gbM patterns of methylation 557 (Fig. 5A). In both hemizygous and diploid genes, gbM has higher expression levels than 558 UM genes (Fig. 5C, SI Appendix Fig. S13). Moreover, several studies have detected a 559 correlation between the presence of gbM and the enhance of gene or allelic expression (62, 560 63), while others have found evidence that it is subject to natural selection (63) based on 561 population genetic arguments. In short, although the functional role of gbM (if any) is 562 debated, it typically is a mark deposited and maintained on active genes (45). The fact that 563 some hemizygous gene bear this epigenetic mark superficially suggests that they can be 564 easily dismissed as non-functional.

565 In addition, hemizygous genes (as a group) demonstrate patterns of tissue/treatment-566 specific expression that are similar to diploid genes. This pattern does not hold at the single 567 gene level, but nonetheless up to 37.5% of hemizygous genes exhibit tissue/treatment-568 specific expression in Black Cottonwood (Fig. 2D). Of course, tissue/treatment-specific 569 expression patterns are not proof of function, but it does indicate that some hemizygous are 570 induced under different environmental and developmental conditions. Finally, there are 571 some consistent patterns of GO enrichment, particularly for responses to biotic and abiotic 572 stresses (SI Appendix Fig. S2). Again, GO enrichment is not proof of function, but all of 573 this evidence combines to make it reasonable to hypothesize that not all hemizygous genes 574 are functional 'junk'. Of course, the mere act of uncovering of a recessive allele can have 575 important functional consequences; we invoke again the compelling case of hemizygosity 576 and the white berry phenotype of grapes (34).

577 To sum: Our work has contributed to an emerging picture that clonal lineages are 578 particularly replete with hemizygous genes but that outcrossing diploids still have 579 substantial regions of hemizygosity. Many of the genes in these regions are not expressed, 580 and the regions themselves appear to be prone to enhanced methylation levels. These 581 enhanced methylation levels – particularly in hemizygous TEs – may affect patterns of cis-582 regulation, such that differences in hemizygosity between clonal lineages may contribute 583 to phenotypic differences. These pervasive hemizygous genes may thus be more important than previously thought for understanding the genetics, breeding and evolution of plants

585 with heterozygous genomes.

586 Materials and Methods

587 Sample selection and genome assembly and annotation

588 We used genome assemblies based on long-reads sequencing data for seven diploid plant 589 samples. Three were clonal samples, including two grapevine (V. vinifera ssp. vinifera) 590 crop, varietals, heterozygous Pinot Noir and Chardonnay (28), and the TME204 varietal of 591 cassava (M. esculenta) (35). One was outcrossing sample, Black Cottonwood (P. 592 trichocarpa) (36). The remaining three included one naturally inbred rice cultivar (O. 593 sativa ssp. indica), MH63 (37); one manipulated inbred tomato cultivar (S. lycopersicum), 594 Heinz 1706 (30); and one manipulated doubled haploid sweet orange cultivar (Citrus 595 sinensis), Valencia (38) (Table 1).

596 Among the seven genome assemblies, the data for the assembly of Pinot Noir were 597 generated for this study; we focused on Pinot Noir because of the wealth of expression data 598 available for the reference grapevine PN40024 that was based on a Pinot Noir-derived 599 genotype (64), while these expression data were produced from Pinot Noir (SI Appendix 600 Table S1). The plant material was grown at Agriculture Genomics Institute at Shenzhen 601 (AGIS), Chinese Academy of Agriculture Science (CAAS). DNA extraction and the 602 construction of SMRTbell libraries followed ref. (30). SMRTbell libraries were sequenced 603 on the PacBio Sequel II platform in the CCS mode, generating a total of 33 Gb ($66 \times$ 604 genomic coverage). DNA extraction and the preparation of ultra-long Oxford Nanopore 605 Technologies (ONT) libraries followed ref. (65). ONT libraries were sequenced on the 606 ONT platform, generating an additional 14 Gb ($28\times$) of extra-long reads with an average 607 length of 99 kb. For Hi-C library construction, chromatin was digested with the restriction 608 enzyme Mbol using a previously described Hi-C library preparation protocol (66). The Hi-609 C libraries were sequenced on an Illumina HiSeq X Ten Platform, generating a total of 82 610 Gb (160×).

611 The Pinot Noir genome was assembled using hifiasm (v.0.13) (67), which generated a 612 primary assembly (p ctg) and an alternative assembly (a ctg), both of which consisted of 613 gapless contigs. The p ctg assembly consisted of 461 contigs with a contig N50 of 23.6 Mb, 614 while the a ctg assembly included 342 contigs with a contig N50 of 24.2 Mb. Subsequently, the contig-level assemblies were aligned to 19 chromosomes using the Cabernet Sauvignon 615 616 genome (http://www.grapegenomics.com/pages/VvCabSauv/) (67) as a reference with the 617 default parameters of RagTag (v2.1.0) (68). The primary and alternative contigs were then 618 grouped and sorted using Juicer (v.1.6) (69) and 3D-DNA (v.180922) (70) software, and 619 anchored to 19 chromosomes using Hi-C reads in Juicebox (71). The genome assembly 620 was manually corrected in IGV (v2.12.3) (72) by remapping ultra-long ONT reads to the 621 genome assembly. We also filled and closed gaps using selected and assigned contigs, 622 achieving gap-free assemblies for Pinot Noir. Ultimately, two phased genomes were 623 obtained, including the haplotig1 genome spanning 495.2 Mb sequences (scaffold N50 of 624 27.1 Mb), and the alternative genome (haplotig2) spanning 489.6 Mb. The haplotig1 625 genome assembly was used for downstream analyses; this genome scored 98.3% 626 completeness in gene-space using Embryophyta_odb10datasets based on BUSCO (v5.3.2) 627 (73) (Table 1). The gene annotations of Pinot Noir were transferred from Vitis vinifera cv. 628 PN40024 v4.2 (http://www.grapegenomics.com/pages/PN40024/blast.php) (74) using 629 liftoff (v1.6.3) (72) with default parameters. Repetitive elements (TEs) of Pinot Noir were 630 identified using RepeatModeler and masked using RepeatMasker (75) with default 631 parameters.

632 The remaining six genome assemblies were retrieved from public resources. We 633 downloaded Chardonnay genome assembly and annotation (VvChar04_v1) from Genome 634 Database for Grapevine (http://www.grapegenomics.com/pages/VvChar/) (28), then used 635 RagTag (v2.1.0) (68) to anchor and orient VvChar04_v1 to chromosome level based on 636 the reference genome Vitis vinifera cv. PN40024 v4.2 (74), and updated the final gene 637 annotation based on VvChar04 v1 gff file using (v1.6.3) (72). For cassava, we 638 downloaded the genome assembly (hifiasm152 l3.hic.hap1.p ctg) from NCBI 639 (https://ftp.ncbi.nlm.nih.gov/genomes/all/GCA/020/916/445/GCA 020916445.1 hifiasm 640 152_13.hic.hap1.p_ctg/), and updated the genome annotation from cassava AM560-2.v8 641 (https://ftp.ncbi.nlm.nih.gov/genomes/all/GCF/001/659/605/GCF_001659605.2_M.escul

enta v8/). For outcrossing Black Cottonwood, we downloaded the genome and annotation

643 files Cottonwood from NCBI 644 (https://www.ncbi.nlm.nih.gov/assembly/GCF 000002775.5) (36). For the three inbred 645 and doubled haploid samples, rice, tomato and sweet orange, we downloaded: the genome 646 assembly and annotation of rice (O. sativa ssp. indica) MH63RS3 from Rice Information 647 Gateway (http://rice.hzau.edu.cn/rice rs3/) (37); the genome assembly and annotation of 648 (*S*. lycopersicum Heinz 1706) SL5.0 from a tomato tomato database 649 (http://solomics.agis.org.cn/tomato/ftp/) (30); and the genome assembly of sweet orange 650 (*C*. sinensis) Valencia from NCBI 651 (https://ftp.ncbi.nlm.nih.gov/genomes/all/GCA/018/104/345/GCA_018104345.1_ASM18 652 10434v1), and updated the genome annotation based on genome assembly and annotation 653 of DVS_A1.0 (https://www.ncbi.nlm.nih.gov/assembly/GCA_022201045.1/) (38) using 654 liftoff (v1.6.3) (72). Repetitive elements (TEs) of these six samples were identified for this 655 study using the same Repeatmasker pipeline that was applied to Pinot Noir. Across these genomes, 26,874-59,903 protein-coding genes were annotated. The total gene lengths were 656 657 in the 114.3 to 180.8 Mb range, occupying 15%~45.7% of their respective genome sizes (Table 1). We also identified from 458,740 to 1,195,837 TEs across species, occupying 658 659 from 51.83% to 71.10% of each genome (Table 1).

660 Identification and characterization of hemizygous genes

642

661 To identify hemizygous genes, we retrieved the raw long-read PacBio CCS/CLR data of 662 the seven genome assemblies publicly, except for Pinot Noir: (1) for two clonal propagated 663 samples, we retrieved the PacBio CLR data of Chardonnay and PacBio CCS data of 664 cassava from the NCBI Short Read Archive under accession PRJNA550461 and 665 PRJEB43673, respectively; (2) for one outcrossing samples, we retrieved the PacBio CLR 666 data of Black Cottonwood from NCBI SRA under accession PRJNA791651; (3) we 667 recovered the PacBio CLR data of rice, PacBio CCS of tomato, PacBio CLR of sweet 668 orange from NCBI SRA under accession PRJNA302543, PRJNA733299, and 669 PRJNA347609, respectively.

670 We then remapped the corresponding long-read PacBio CCS or CLR data to each of the 671 seven surveyed plant genome assemblies to call SVs using a Sniffles pipeline. In this 672 pipeline, PacBio CCS or CLR reads were normalized to $30 \times$ sequencing depth for each 673 sample using seqkit (v 2.2.0) (76), the depth of normalized sequencing reads were 674 calculated using bedtools (v2.30.0) (77) coverage with default option, in which the 675 windows were made as 1000 bp using bedtools makewindows, the fourth line of the output 676 files was sequencing depth. The distribution of sequencing depth was plotted for each taxon 677 (SI Appendix Fig. S1). The remaining PacBio reads were mapped onto genome assemblies 678 using Minimap2 (2.24-r1122) (78) with the MD flag, and variant callings were performed 679 using Sniffles (v2.0.6) (39). SV analysis outputs (VCF files) were filtered based on the 680 following three steps: (1) we removed SVs that had ambiguous breakpoints (flag: 681 IMPRECISE) and also low-quality SVs that did not pass quality requirements of Sniffles 682 (flag: UNRESOLVED); (2) we removed SV calls shorter than 50 bp; (3) we removed SVs 683 with less than four supporting reads. Hemizygous regions were defined as deletion regions 684 with 0/1 flags based on SV inferences, and genes that 100% overlapped hemizygous 685 regions were defined as hemizygous genes. Genes were extracted from hemizygous regions of the genome with bedtools (v2.30.0) (77) intersect with command "bedtools intersect -686 687 wo -a hemizygous_regions.bed -b gene.bed -F 1". The remaining genes were termed 688 diploid genes.

689 To help identify reliable hemizygous genes, we calculated SNP heterozygosity. SNPs were 690 called based on PacBio CCS reads for Pinot Noir and cassava, and Illumina paired-end data 691 for Chardonnay and Black Cottonwood. PacBio CCS reads were mapped onto the 692 corresponding genome assembly using Minimap2 (2.24-r1122) (78) with the -ax map-hifi 693 and MD flag. SAM format was converted to BAM format and sorted using Samtools (v1.9) 694 (79). SNPs were called using Deepvariant (v1.4.0) (80) with the PacBio mode and default 695 parameters. For Illumina paired-end data, the adapters of raw data were trimmed and low-696 quality data were discarded using Trimmomatic (v0.39) (81) with the options: LEADING:3 697 TRAILING:3 SLIIDINGWINDOW:10:20 MINLEN:36. Second, Illumina reads were 698 mapped to Chardonnay using bwa (v0.7.17-r1188) (82). SNPs were called using 699 Deepvariant (v1.4.0) (80) with the WGS mode and default parameters. Then, SNPs were 700 filtered using with VCFtools (v0.1.16) (83): (1) SNPs with less than five supported reads were removed, (2) SNP with "0/1" flag were selected. The Illumina data for Chardonnay
from NCBI SRA under SRR5627799 (PRJNA388292); The Illumina data for Black
Cottonwood under SRR17455010 (PRJNA791651). Once SNPs were identified, the
heterozygosity of each gene was calculated as the total heterozygous SNP numbers divided
by the length of each gene.

We then characterized sequence features, such as coverage, length, synonymous mutation rate (*Ks*), and non-synonymous/synonymous mutation ratio (*Ka/Ks*) of hemizygous and diploid genes, and the proportion of single copy genes of hemizygous and diploid genes. Gene length was calculated as the length between transcription start and end. We calculated the coverage of hemizygous vs. diploid genes. The sequence depth of each gene was detected using bedtools (v2.30.0) (77) with the coverage option, disregarding sequencing depths of >100 or < 3 for possible sequencing bias.

713 Ka and Ks values estimated using MCScanX pipeline were 714 (https://github.com/wyp1125/MCScanX) based on Pinot Noir-*M*. rotundifolia, 715 Chardonnay-M. rotundifolia and cassava-R. communis, Black Cottonwood-S. brachista 716 genome sequence comparisons. We downloaded the genome fasta and gene annotation gff 717 file of *M. rotundifolia* (http://www.grapegenomics.com/pages/Mrot/download.php), *R.* 718 communis

719 (https://ftp.ncbi.nlm.nih.gov/genomes/all/GCF/019/578/655/GCF_019578655.1_ASM19 720 S. 57865v1/). and brachista 721 (https://ftp.ncbi.nlm.nih.gov/genomes/all/GCA/009/078/335/GCA_009078335.1_ASM90 722 7833v1/). The corresponding coding and protein sequences were converted from fasta and 723 gff file using gffread (v0.12.7) (84). The BLASTP was performed using protein sequences 724 (E-value $< 1e^{-10}$, top 5 matches and outfmt 6) to search all possible homologous gene pairs 725 between each species pair. The output files based on BLASTP analysis were used as inputs 726 for MCScanX, and pairwise Ka, Ks values of syntenic homologous genes were estimated 727 using the Perl script "add ka and ks to collinearity.pl" in the MCscanX package, which 728 implemented the *Nei-Gojobori* algorithm (85).

We used two methods to determine the single copy and multi-copy genes. Using Pinot Noir-*M. rotundifolia*, Chardonnay-*M. rotundifolia*, cassava-*R. communis*, and Black Cottonwood-*S. brachista*, we performed all-to-all BLASTP between each species and its respective outgroup. Single copy orthologous genes were determined when they met the criteria of an *e*-value $< 1 \times 10^{-10}$, with similarity >70% and coverage >70%; the top five matches were kept if more than five hits met the preset requirements. Second, the OrthoFinder (86) was also used to detect the single copy orthologous genes.

Finally, we characterized the biological function of hemizygous genes using eggnogmapper (http://eggnog-mapper.embl.de/) (87). GO analysis was performed using the ClusterProfiler package (88) in R 4.1.0. We employed a P value < 0.05 to represent significantly enriched terms.

740 **Dissection of hemizygous gene expression patterns**

741 To understand how hemizygous genes responded to fruit development, organ 742 differentiation, and biotic and abiotic stress stimulus, we downloaded publicly available 743 RNA-seq data from NCBI (SI Appendix Table S1). For Pinot Noir, RNA-seq data 744 represented fruits at two development stages in each of three projects (PRJNA260535, 745 RJNA381300, PRJEB36552); RNA-seq data representing organ differentiation were 746 recovered from leaves and fruits (PRJNA373967), leaves and immature/mature fruit 747 (PRJNA381300); leaves and stem (PRJDB5807); and flowers and fruits (PRJEB39263). 748 RNA-seq data regarding abiotic and biotic stress stimuli were generated from leaves under 749 CO₂ treatment (PRJNA837346), leaves under drought treatment (PRJNA433817), fruits 750 under water deficit treatment (PRJNA268857), and embryogenic callus under yeast 751 treatment (PRJNA732451). For Chardonnay, we retrieved one dataset related to fruit 752 development (PRJNA260535), one dataset related to organ differentiation (leaves and 753 embryogenic callus, PRJNA691261), and three different datasets related to stress stimulus 754 (PRJNA402079, PRJNA268857, PRJEB31325). The cassava RNA-seq data were 755 generated from leaves (PRJNA787456). The Black Cottonwood RNA-seq data were 756 generated from leaves (PRJNA549496) and abiotic stress stimulus experiments 757 (PRJEB19784).

758 Raw RNA-seq reads were trimmed by quality using Trimmomatic (v0.39) (81) with the 759 options: LEADING:3 TRAILING:3 SLIIDINGWINDOW:10:20 MINLEN:36. High-760 quality reads were mapped onto the primary genome assemblies using HISAT2 (v.2.2.1) 761 (89) with default parameters. Raw count for each gene was calculated based on 762 FeatureCounts (2.0.1) with the option: -p -B (paired-end reads, single-end reads without -763 B) -C -t transcript -g gene id. Gene expression was quantified in normalized fpkm 764 (fragment per kilobase per million) with a custom R script using the GenomicFeatures 765 package (90) in R 4.1.0. In each tissue/treatment, gene expression was averaged over the 766 biological replicates in each surveyed crop. Expressed genes were defined as those with 767 fpkm > 0.

768 Exploration of cis-regulatory effects of TEs on gene expression

769 Based on the identification of repeat sequences, we explored the cis-regulatory effects of 770 TEs on gene expression. For this purpose, we first assigned each TE to its closest gene 771 when it was within 2 kb (the distance to either 5' or 3' end of gene with > 0 kb and < 2 kb) 772 using command "bedtools closest -wo -a gene.bed -b TE.bed", and thus genes were 773 separated in four classes: hemizygous genes with nearby TEs, hemizygous genes without 774 nearby TEs, diploid genes with nearby TEs, diploid genes without nearby TEs. We divided 775 genes near TEs into four categories: hemizygous genes with either hemizygous or diploid 776 TEs, and diploid genes with either hemizygous or diploid TEs.

777 Unveiling DNA methylation patterns of hemizygous genes

778 Bisulfite-seq (BS-seq) for four samples were either generated for this study or downloaded 779 from public sources (SI Appendix Table S2). The Chardonnay clone chosen for BS-seq was 780 FPS 04, a clone commonly grown in California and throughout the world. The reference 781 plant is located at Foundation Plant Services, University of California. DNA was isolated 782 with the Qiagen DNeasy Plant Mini kit, and bisulfite libraries were prepared as previously 783 described (91). Libraries were pooled and sequenced (150bp paired-end) on the Illumina 784 HiSeq2500. As a control for bisulfite conversion, lambda-DNA was spiked into each 785 library preparation to measure the conversion rate of unmethylated cytosines (0.5% w/w). 786 For publicly available datasets, BS-seq were generated from leaves in Pinot Noir (PRJNA381300) (92), Chardonnay (PRJNA691261) (93), cassava (PRJNA793021) (35),
and Black Cottonwood (PRJNA549497) (36).

BSseq reads were trimmed for quality and adapter sequences using Trimmomatic (v0.39) (81) with the options: LEADING:3 TRAILING:3 SLIIDINGWINDOW:10:20 MINLEN:36. Low quality reads and reads less than 36 bp were discarded. Bismark (v0.23.1) (94), in conjunction with bowtie2 (v2.1.0) (95) with default parameters were used to align trimmed reads to the respective genome reference.

794 The number of methylated and unmethylated reads per cytosine was calculated using the 795 bismark methylation extractor in Bismark (v0.23.1) (94). Methylated cytosines were 796 identified using a binomial test incorporating the estimated rates of bisulfite conversion 797 errors (P<0.01 after Benjamini-Yekutieli FDR correction) (96). False methylation rates 798 (FMR) for each library were estimated for each taxon as one previous study performed (91), 799 FMRs were estimated using lambda-DNA or chloroplast DNA using MethylExtract (97). 800 A minimum coverage of two was required at each cytosine to determine methylation status. 801 DNA methylation distribution plots were performed with deepTools (98).

802 We defined body-methylated genes following the strategy of Ref. (99). Briefly, we 803 quantified the level of DNA methylation for each protein-coding region for each context-804 CG, CHG, CHH. The protein-coding region was defined as the annotated translation start 805 to the termination codon. Taking the CG context as an example, n_{CG} was the number of 806 cytosine residues at CG sites with ≥ 2 coverage in the gene of interest, m_{CG} was the number 807 of methylated cytosine residues at CG sites for the same gene, and p_{CG} was the average 808 proportion of methylated cytosine residues across all genes. Assuming a binomial 809 probability distribution, the one-tailed *P* value for the departure of CG methylation levels 810 from average genic proportion of DNA methylation was calculated as:

811
$$P_{\rm CG} = \sum_{i=m_{\rm CG}}^{n_{\rm CG}} \left(\frac{n_{\rm CG}}{i}\right) p_{\rm CG}{}^i (1-p_{\rm CG})^{n_{\rm CG}-i}$$

812 Where P_{CG} was a proxy of DNA methylation level. Using the same rationale, P_{CHG} and 813 P_{CHH} were calculated for CHG and CHH context, respectively. 814 Given the binomial results, taking the similar strategies of Ref. (63), a gene was inferred to 815 be gene body methylated (gbM) if CG methylation was significantly higher than the 816 background ($P_{CG} \leq 0.05$), while CG and CHG methylation were not significantly higher than the background ($P_{CHG} > 0.05$ and $P_{CHH} > 0.05$). Similarly, a gene was inferred to be 817 818 CHG methylated if CHG methylation was higher than the background ($P_{CHG} \le 0.05$) and 819 CHH methylation was not significantly higher than the background ($P_{CHH} > 0.05$). CHG 820 methylated genes also tended to be CG methylated, but CG methylation was not required 821 in our categorization. A gene was inferred to be CHH methylated if CHH methylation was 822 higher than the background ($P_{CHH} \leq 0.05$). CHH methylated genes also tend to be CG and 823 CHG methylated. Finally, a gene was inferred to be unmethylated (UM) if CG, CHG, and 824 CHH methylation were not significantly higher than the background ($P_{CG} > 0.05$, $P_{CHG} >$ 825 0.05, and $P_{\text{CHH}} > 0.05$). In any other case, the gene methylation state was not inferred.

826 Data availability

827 The PacBio CCS, ONT and Hi-C sequence data have been deposited to the NCBI short 828 reads achieved under the project number: PRJNA951461 and the National Genomics Data 829 Center (NGDC) Genome Sequence Archive (GSA) (https://ngdc.cncb.ac.cn/gsa/), with 830 BioProject number PRJCA016741. The Bisulfite-seq data have been deposited to the NCBI 831 short reads achieved under the project number: PRJNA987409. The genome assembly and 832 annotation have been deposited to zenodo: https://zenodo.org/record/8089258. All data 833 supporting the findings of this study are available within the manuscript and its supporting 834 information are available from the corresponding author upon request.

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1061 Figures and Tables



1063 Fig. 1. The identification and characterization of hemizygous genes. (A) an example of a hemizygous gene, shown for Pinot Noir in IGV. (B-G) boxplots comparing genic statistics 1064 1065 between hemizygous and diploid genes, including B) the gene length, shown as log₂(length 1066 bp), C) the coverage, D) Ks and E) Ka/Ks. (F, G) the proportion of single-copy genes for 1067 hemizygous and diploid genes, respectively, based on the BLASTP and Orthofinder 1068 pipeline. In each boxplot, the line in the middle of the box is the median, the edges of the 1069 box represent first and 3rd quartile, and the whiskers represent the range. Abbreviations 1070 used: PN for Pinot Noir, CH for Chardonnay, CA for Cassava, and BC for Black 1071 Cottonwood.





1074 Fig. 2. Gene expression patterns of hemizygous and diploid genes across four taxa, namely 1075 Pinot Noir (PN) and Chardonnay (CH), cassava (CA), Black Cottonwood (BC). (A) The 1076 proportion of expressed hemizygous genes and diploid genes across all data from the four 1077 taxa. (B) The expression level, shown as $\log_2(fpkm+1)$, for hemizygous and diploid genes. 1078 (C) The distribution of hemizygous: diploid expression ratios from each of the three taxa, 1079 cassava was discarded for analysis as it contained only one tissue. (D) The proportion of 1080 differentially expressed genes for hemizygous and diploid genes for the three taxa that 1081 allow control-treatment contrasts, cassava was discarded for analysis as it contained only 1082 one tissue. (E) Pie charts of common and unique differentially expressed hemizygous and 1083 diploid genes among three processes, including fruit development (DEV), organ 1084 differentiation (ORGAN), abiotic and biotic stress stimulus processes (AB). Data are 1085 shown only for Pinot Noir and Chardonnay because they are the only taxa that included all 1086 three processes, i.e., fruit development, organ differentiation, abiotic and biotic stress 1087 stimulus processes. In B and C, the line in the middle of the box is the median, the edges 1088 of the box represent first and 3rd quartile, and the whiskers represent the range.

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1091 Fig. 3. The cis-regulation of TE effects on hemizygous and diploid genes for four taxa. (A) 1092 The proportion of expressed hemizygous and diploid genes with nearby TEs and without 1093 nearby TEs across four taxa. (B) Expression levels, shown as log₂(fpkm+1), of expressed 1094 hemizygous and diploid genes without nearby TEs and with nearby TEs. (C) The 1095 proportion of expressed hemizygous and diploid genes with nearby hemizygous and 1096 diploid TEs. (D) Expression levels, shown as log₂(fpkm+1), of hemizygous and diploid 1097 genes with nearby hemizygous and diploid TEs. In Figure B and D, asterisks indicate the 1098 results of Wilcoxon rank-sum comparisons, with ns: p > 0.05, *: $p \le 0.05$, *: $p \le 0.01$, ***: $p \le 0.001$, ****: $p \le 0.0001$; the line in the middle of the box is the median, the 1099 1100 edges of the box represent first and 3rd quartile, and the whiskers represent the range.

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Fig. 4. Methylation patterns of hemizygous genes, diploid genes, hemizygous TEs and diploid TEs in each of four taxa. (A) The global distribution of DNA methylation levels at hemizygous genes, diploid genes, hemizygous TEs and diploid TEs. Start and end denote the transcription start and stop sites of genes or the beginning or end of the TE annotations. The graphs include a 1-kb window upstream and downstream of each feature. (B) The average DNA methylation level for each of the three methylation contexts (CG, CHG or CHH) in each sequence type within each taxon.

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1114 Fig. 5. Epigenetic effects on the expression of hemizygous genes in four taxa. (A) The 1115proportion of body-methylated genes (gbM), CHG methylated genes (mCHG) and 1116 unmethylated genes (UM) for hemizygous and diploid genes across four taxa. (B) The 1117 proportion of expressed hemizygous and diploid gbM, mCHG, and UM across four taxa. 1118 (C) Expression levels, shown as log₂(fpkm+1) of expressed gbM, mCHG and UM genes. 1119 The results of Wilcoxon rank-sum test are indicated by ns: p > 0.05, *: $p \le 0.05$, *: $p \ge 0.05$, *: $p \le 0.05$, *: $p \ge 0$ 1120 0.01, ***: $p \le 0.001$, ****: $p \le 0.0001$. In Figure C, the line in the middle of the box is 1121 the median, the edges of the box represent first and 3rd quartile, and the whiskers represent 1122 the range.

Plants	PN	СН	CA	BC	Rice	ice Tomato Orange	
Mating system	Clonal	Clonal	Clonal	Outcross	Inbred	Inbred	DH
Genome sizes (Mb)	495.2	606	762.4	392.2	395.8	801.8	334.3
Nchr	19	19	18	19	12	12	9
Nscaffolds	0	175	1396	27	0	0	223
Busco(%)	98.3	96.4	99	98.8	98.7	98.6	98.6
50(Mb)	27.1	30.4	35.5	13.2	31.9	67.6	32.3
NhSVs	36,556	20,822	46,418	17,708	133	28	191
NhDELs	19,935	10,165	29,614	9,949	18	10	52
%NhDELs	54.5	48.8	63.8	56.2	13.5	35.7	27.2
hDEL length (Mb)	83.7	90.1	88	25.1	0.02	0.007	0.013
%hDEL length	10.4	14.9	11.5	3.2	0.005	0.001	0.004
Ngenes	33,803	37,243	32,659	34,699	59,903	36,648	26,893
NTEs	922,166	1,195,837	826,051	633,676	616,102	637,638	442,868
Nhemigenes	3,399	5,610	4,242	1,570	2	1	2
%Nhemigenes	10.1	15.1	13.0	4.5	0.003	0.003	0.007
NhemiTEs	119,980	226,295	203,804	39,773	9	8	46
%NhemiTEs	13.0	18.9	24.7	6.3	0.001	0.001	0.010

Table 1. Quality of genome assembly, characterization of SVs and identification of hemizygous genes based on SV inferences in seven taxa.

Abbreviations used: PN for Pinot Noir, CH for Chardonnay, CA for cassava, BC for Black Cottonwood, *N*chr for chromosomes numbers, DH for doubled haploid, *N*scaffolds for number of unplaced scaffolds, *N*hSVs for number of heterozygous SVs, *N*hDELs for number of heterozygous deletions, *%N*hDELs for the proportion of heterozygous deletions, *%*hDEL length for the proportion of heterozygous deletions length, *N*genes for total gene numbers, *N*TEs for total TE numbers, *N*hemigenes for Number of hemizygous genes, *%N*hemigenes for the proportion of hemizygous TEs, *%N*hemiTEs for the proportion of hemizygous TEs numbers.